

LIST OF APPROVED BROKER / DEALERS
For Fiscal Year 2022
June 15, 2021

PRIMARY DEALERS

Amherst Pierpont Securities
Bank of Nova Scotia, New York Agency
Barclays Capital Inc.
BMO Capital Markets Corp.
BNP Paribas Securities Corp.
BofA Securities, Inc.
Cantor Fitzgerald & Co.
Citigroup Global Markets Inc.
Credit Suisse Securities (USA) LLC
Daiwa Capital Markets America Inc.
Deutsche Bank Securities Inc.
Goldman, Sachs & Co. LLC
HSBC Securities (USA) Inc.
J.P. Morgan Securities LLC
Jefferies LLC
Mizuho Securities USA Inc.
Morgan Stanley & Co. LLC
NatWest Markets Securities Inc.
Nomura Securities International, Inc.
RBC Capital Markets, LLC
Societe Generale, New York Branch
TD Securities (USA) LLC
UBS Securities LLC.
Wells Fargo Securities

NON-PRIMARY DEALERS

BNY Mellon Capital Markets
Fifth Third Securities
FHN Financial
Guggenheim Securities, LLC
R.W. Baird & Co.
Mitsubishi UFJ
PNC Capital Markets
Raymond James & Associates
Stifel, Nicolaus & Company